



December 14, 2021

RE: ComplyUp - FIPS 140-2 Publication

ComplyUp
201 E Kennedy Blvd
#1230
Tampa, FL 33602
United States of America



To Whom It May Concern:

Corsec Security, Inc. has conducted an analysis of the ComplyUp SaaS Compliance Assessment Tool, assessing product operations for the Federal Information Processing Standard Publication 140-2 (FIPS 140-2).

As issued by the National Institute of Standards and Technology (NIST), FIPS 140-2 specifies the security requirements that must be satisfied by a cryptographic module used in a security system protecting sensitive but unclassified (SBU) information.

Corsec has reviewed the architecture, features, and operations of the ComplyUp Compliance Assessment Tool and provides the following conclusions:

- The ComplyUp Compliance Assessment Tool does not natively perform cryptographic services or functions, therefore a FIPS 140 validation is neither required nor possible.
- If run in a FIPS validated environment, the ComplyUp Compliance Assessment Tool will meet all FIPS-approved security functions and cryptographic operations

Corsec's review of ComplyUp's Compliance Assessment Tool has found that when operating on a secured browser which has been configured to use FIPS-Approved cryptographic algorithms, all FIPS 140 requirements and services shall be met.

Corsec attests that the ComplyUp Compliance Assessment Tool has **PASSED** Corsec's **FIPS Verified** process.

For any additional information I can provide on this matter, please do not hesitate to call me at (703) 267-6050.

Sincerely,

A handwritten signature in black ink, appearing to read "Matt Appler".

Matt Appler
CEO, Corsec Security, Inc.